

01/15/02
JC879 U.S. PTO
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01/15/02

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

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JC879 U.S. PTO
10/051510
01/15/02

Docket No. : 47670/PAN/C715/CLO160
Inventor(s) : John Wasserbauer and Jeffrey W. Scott
Title : MIRROR STRUCTURE FOR REDUCING THE EFFECT OF
FEEDBACK ON A VCSEL
Express Mail Label No. : EL904792446US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Date: January 15, 2002

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

_____ This application is a _____ of patent application No. _____

Prior application information: Examiner ; Group Art Unit:

☒ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. 60/262,261.

3. **APPLICATION COMPRISED OF**

Specification

36 Specification, claims and Abstract (total pages)

Drawings

16 Sheets of formal drawing(s) (FIGS. 1 to 24)

Declaration and Power of Attorney

_____ Newly executed

☒ Unexecuted declaration

_____ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

FEE VALUE ACCOUNTABILITY	
DEPOSIT ACCOUNT NO.	
FEE CODE	VALUE FURNISHED

4. _____ **Microfiche Computer Program** (Appendix)

5. _____ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)

_____ Computer Readable Copy

_____ Paper Copy (identical to computer copy)

_____ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

☒ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL ENTITY

UTILITY PATENT APPLICATION TRANSMITTAL
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7. ALSO ENCLOSED ARE

- ☐ Preliminary Amendment
- ☐ Includes "Cross-Reference to Related Applications"
- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☒ Other: Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

8. CORRESPONDENCE ADDRESS

CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068
Customer Number: 23363

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 

Peter A. Nichols
Reg. No. 47,822
626/795-9900

PAN/cks

01/15/02
47670 U.S. PTO

01-22-02

**FEE TRANSMITTAL
UTILITY PATENT APPLICATION**

47670 U.S. PTO
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DATE: January 15, 2002

Docket No. : 47670/PAN/C715/CLO160
Inventor(s) : John Wasserbauer and Jeffrey W. Scott
Title : MIRROR STRUCTURE FOR REDUCING THE EFFECT OF FEEDBACK
ON A VCSEL

Duplicate ☒

FEE DETERMINATION

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	25 - 20	5	5 x \$9.00	x \$18.00	\$45.00
INDEPENDENT CLAIMS	3 - 3	0	0 x \$42.00	x \$84.00	\$0.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$140.00	\$280.00	
BASIC FEE			\$370.00	\$740.00	\$370.00
TOTAL FILING FEE					\$415.00
List Independent Claims: 1, 20 and 25					

METHOD OF PAYMENT

☒ Payment Enclosed: Check for \$415.00

☒ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By Peter A. Nichols

Peter A. Nichols
Reg. No. 47,822
626/795-9900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL NO. EL904792446US

Applicant : John Wasserbauer et al.
Filed : Filed Herewith
Title : MIRROR STRUCTURE FOR REDUCING THE EFFECT
OF FEEDBACK ON A VCSEL
Docket No. : 47670/PAN/C715

REQUEST AND CERTIFICATION
UNDER 35 U.S.C. 122(b)(2)(B)(i)

Assistant Commissioner for Patents
Washington, D.C. 20231

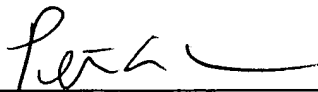
Post Office Box 7068
Pasadena, CA 91109-7068
January 15, 2002

Commissioner:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 

Peter A. Nichols
Reg. No. 47,822
626/795-9900

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